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PTO/SB/05 (03-01)  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under

Attorney Docket No. 53047/31628

First Inventor Laura L. Dugan

Title Carboxyfullerenes and Methods of Use Thereof

Express Mail No. EL474185237US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 43]  
(preferred arrangement set forth below)  
☒ Descriptive title of the invention  
☐ Cross Reference to Related Applications  
☒ Statement Regarding Fed sponsored R & D  
☐ Reference to sequence listing, a table,  
or a computer program listing appendix  
☒ Background of the Invention  
☒ Brief Summary of the Invention  
☒ Brief Description of the Drawings (if filed)  
☒ Detailed Description  
☒ Claim(s)  
☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8 ]
4. ☒ Oath or Declaration [Total Pages 3 ]  
☒ Newly executed (original or copy)  
☐ Unexecuted  
☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

**ADDRESS TO:**

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

5. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent
15. ☒ Certificate of Express Mail
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label



or ☒ Correspondence address below

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**EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)****Express Mail No. EL4741852237US**

Docket No.

53047/31628

In Re Application Of:

Dugan et al.

Serial No.  
To be assignedFiling Date  
2/23/02Examiner  
To be assignedGroup Art  
Unit

Title:

Carboxyfullerenes and Methods of Use Thereof

**TO THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Transmitted herewith is:

## Utility Patent Application Transmittal:

- ☒ Patent Application  
☒ Drawings: 8 Sheets ☒ Formal ☐ Informal;  
☒ Power of Attorney: ☐ Executed ☒ Not executed  
☒ Declaration: ☒ Executed ☐ Not executed  
☐ Assignment with Recordation Form  
☐ 37 CFR 3.73(b) Statement  
☐ Information Disclosure Statement with References (☐)  
☐ NonPublication Request under 35 U.S.C. 122(b)(2)(B)(i)  
☒ Postcard  
☐ A check in the amount of \_\_\_\_\_ is attached

  
SignatureDated: February 23, 2002

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I certify that the document and fee is being deposited on  
February 23, 2002 with the U.S. Postal Service as  
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